



#AF 2/10

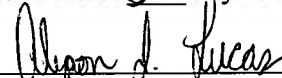
**PATENT**  
Attorney Docket No. EMT-001  
(120418/156868)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS: Li *et al.* CONFIRMATION NO.: 4280  
SERIAL NO.: 09/664,226 GROUP NO.: 3624  
FILING DATE: September 18, 2000 EXAMINER: Colbert, Ella  
TITLE: AUCTION MANAGEMENT

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Mail Stop AF, Director of U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on this 5<sup>th</sup> day of January, 2006.

  
Alyson J. Lucas

Mail Stop AF  
Director of US Patents and Trademarks Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Submitted herewith is/are:

- 1) Transmittal Form (1 pg.);
- 2) Fee Transmittal Form (1 pg.);
- 3) Check No. 970394 in the amount of \$490.00;
- 4) Petition For Extension of Time Under 37 CFR 1.136(a) (1 pg.);
- 5) Fourth Information Disclosure Statement (2 pgs.);
- 6) Fourth Form PTO 1449 (2 pg.);
- 7) Copies of IDS Citations (C1-C7);
- 8) Notice of Appeal to the BPAI (1 pg.);
- 9) Pre-Appeal Brief Request for Review (1 pg.);
- 10) Comments Accompanying Request for Pre-Appeal Brief Conference (5 pgs.);
- 11) Exhibit A (24 pgs.); and
- 12) Return Receipt Postcard.



# TRANSMITTAL FORM

Application Serial Number	09/664,226
Filing Date	September 18, 2000
First Named Inventor	Li
Group Art Unit	3624
Examiner Name	Colbert, Ella
Attorney Docket No.	EMT-001
Patent No.	Not applicable
Issue Date	Not applicable

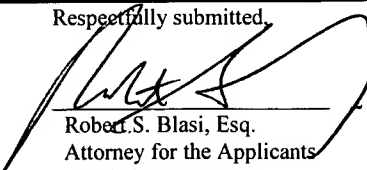
## ENCLOSURES (check all that apply)

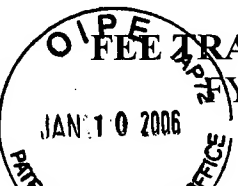
<input checked="" type="checkbox"/> Fee Transmittal Form (1 pg.)  <input checked="" type="checkbox"/> Check No. 970394 in the Amount of \$490.00 Attached <input type="checkbox"/> Copy of Fee Transmittal Form  <input type="checkbox"/> Interview Summary  <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]  <input checked="" type="checkbox"/> Petition for Extension of Time (1 pg.)  <input checked="" type="checkbox"/> Fourth Information Disclosure Statement (2 pgs.)  <input checked="" type="checkbox"/> Fourth Form PTO-1449 (2 pgs.)  <input checked="" type="checkbox"/> Copies of IDS Citations (C1-C7)  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application  <input type="checkbox"/> Formal Drawing(s)  <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal  <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application  <input type="checkbox"/> Small Entity Statement  <input type="checkbox"/> CD(s) for large table or computer program  <input type="checkbox"/> Amendment After Allowance  <input type="checkbox"/> Request for Certificate of Correction  <input type="checkbox"/> Certificate of Correction (in duplicate)	<input checked="" type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences (1 pg.)  <input type="checkbox"/> Appeal Brief (in triplicate)  <input type="checkbox"/> Status Inquiry  <input checked="" type="checkbox"/> Return Receipt Postcard  <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8  <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8  <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below)  <input checked="" type="checkbox"/> Comments Accompanying Request for Pre-Appeal Brief Conference (5 pgs.) and Exhibit A (24 pgs.)  <input checked="" type="checkbox"/> Pre-Appeal Brief Request for Review (1 pg.)
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## CORRESPONDENCE ADDRESS

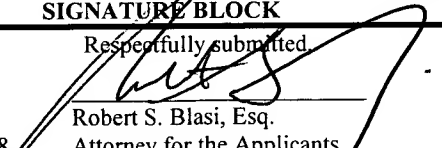
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Respectfully submitted,  
  
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Reg. No. 50,389  
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Fax No.: (617) 523-1231  
Robert S. Blasi, Esq.  
Attorney for the Applicants  
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	Complete if Known	
	Application Serial Number	09/664,226
	Filing Date	September 18, 2000
	First Named Inventor	Li
	Group Art Unit	3624
	Examiner Name	Colbert, Ella
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METHOD OF PAYMENT	FEE CALCULATION (continued)																																																																																																
<p>1. <input checked="" type="checkbox"/> Payment Enclosed:  <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p> <p>2. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 07-1700.  <input type="checkbox"/> Required Fees (copy of this sheet enclosed).  <input checked="" type="checkbox"/> Additional fee required under 37 CFR 1.16 and 1.17.  <input checked="" type="checkbox"/> Overpayment Credit.</p> <p>3. <input checked="" type="checkbox"/> Applicant claims small entity status.</p>	<p>3. ADDITIONAL FEES</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 15%;">Large Entity Fee (\$)</th> <th style="width: 15%;">Small Entity Fee (\$)</th> <th style="width: 50%;">Fee Description</th> <th style="width: 20%;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>130</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>50</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>130</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>2,520</td><td>2,520</td><td>Request for ex parte reexamination</td><td></td></tr> <tr><td>120</td><td>60</td><td>Extension for reply within first month</td><td>60.00</td></tr> <tr><td>450</td><td>225</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>1020</td><td>510</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>1590</td><td>795</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>2160</td><td>1080</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>500</td><td>250</td><td>Notice of Appeal</td><td>250.00</td></tr> <tr><td>500</td><td>250</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>1000</td><td>500</td><td>Request for oral hearing</td><td></td></tr> <tr><td>400</td><td>400</td><td>Petitions to the Commissioner (Gp. I)</td><td></td></tr> <tr><td>200</td><td>200</td><td>Petitions to the Commissioner (Gp. II)</td><td></td></tr> <tr><td>130</td><td>130</td><td>Petitions to the Commissioner (Gp. III)</td><td></td></tr> <tr><td>180</td><td>180</td><td>Submission of Information Disclosure Statement</td><td>180.00</td></tr> <tr><td>790</td><td>395</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>790</td><td>395</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>100</td><td>100</td><td>Certificate of Correction for applicant's error</td><td></td></tr> <tr><td>130</td><td>65</td><td>Submission of Terminal Disclaimer</td><td></td></tr> <tr><td colspan="2">Other fee (Specify)</td><td>Pre-Appeal Brief Request for Review</td><td></td></tr> <tr><td colspan="2">Other fee (Specify)</td><td></td><td></td></tr> </tbody> </table>	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	130	65	Surcharge - late filing fee or oath		50	25	Surcharge - late provisional filing fee or cover sheet		130	130	Non-English specification		2,520	2,520	Request for ex parte reexamination		120	60	Extension for reply within first month	60.00	450	225	Extension for reply within second month		1020	510	Extension for reply within third month		1590	795	Extension for reply within fourth month		2160	1080	Extension for reply within fifth month		500	250	Notice of Appeal	250.00	500	250	Filing a brief in support of an appeal		1000	500	Request for oral hearing		400	400	Petitions to the Commissioner (Gp. I)		200	200	Petitions to the Commissioner (Gp. II)		130	130	Petitions to the Commissioner (Gp. III)		180	180	Submission of Information Disclosure Statement	180.00	790	395	Filing a submission after final rejection (37 CFR 1.129(a))		790	395	For each additional invention to be examined (37 CFR 1.129(b))		100	100	Certificate of Correction for applicant's error		130	65	Submission of Terminal Disclaimer		Other fee (Specify)		Pre-Appeal Brief Request for Review		Other fee (Specify)							
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CORRESPONDENCE ADDRESS	SIGNATURE BLOCK
<p>Direct all correspondence to:</p> <p>Patent Administrator  Goodwin Procter LLP  Exchange Place  Boston, MA 02109  Tel. No.: (617) 570-1000  Fax No.: (617) 523-1231  Customer No. 051414</p>	<p style="text-align: center;">Respectfully submitted,</p> <p style="text-align: center;">   Robert S. Blasi, Esq.  Attorney for the Applicants  Goodwin Procter LLP  Exchange Place  Boston, MA 02109 </p> <p>Date: January 5, 2006  Reg. No.: 50,389  Tel. No.: (617) 570-1408  Fax No.: (617) 523-1231</p>